Title: What enables human language? A bio-cultural framework

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Abstract: Explaining the origins of language is a key challenge in understanding ourselves as a species. We present an empirical framework that draws on synergies across fields to facilitate robust studies of language evolution. The approach is multi-faceted, seeing language emergence as dependent on the convergence of multiple capacities, each with their own evolutionary trajectories. It is explicitly bio-cultural, recognising and incorporating the importance of both biological preparedness and cultural transmission, as well as interactions between them. We illustrate this approach through three case studies examining the evolution of different facets involved in human language (vocal production learning, linguistic structure, social underpinnings).

Main Text: Human language is a distinctive trait of our species, yet its origins are still not understood (*1–4*). The lack of any fossil record of the first language(s), together with many unknowns about human evolution and animal communication have led some to conclude that this question is scientifically intractable (*5*). We propose instead that studying language evolution lies well within the scope of scientific enquiry, when new sources of data and theoretical perspectives are incorporated. We present an empirical bio-cultural framework for research on language evolution, applying it to three case studies, each examining a different facet involved in human language. Our aim is neither to comprehensively review the many existing theories, nor to advocate our own special one, but to set an agenda for future interdisciplinary research, highlighting promising avenues.

This approach is multi-faceted in seeing language emergence as dependent on convergence of multiple capacities (physical, cognitive, social, cultural), each with its own developmental and evolutionary trajectories (see (*6*, *7*)). Proposed facets include those related to production and perception of signals (e.g., vocal learning), systematic organisation of language (e.g., linguistic structure), and communicative motivations (e.g., aspects of social behaviour). A facet does not have to be unique to humans or to language to offer explanatory value: Like the evolution of other complex biological systems (e.g., the eye), the emergence of language can be explained by modifications and recombination of ancestral infrastructures, and exaptation of existing structures (*8–10*). This reflects a move away from "silver bullet" views of language evolution (e.g. (*11*, *12*)), where human uniqueness is defined by just one explanatory factor (e.g., a single genetic mutation). Although such accounts have been historically prolific, persisting in some academic discourse and popular science writing, they are untenable in light of modern biology. Considerable evidence from multiple sources indicates that no one thing itself was enough to "give us language" or "make us human" (*13–15*). The multi-faceted perspective calls for empirical investigations of larger historical windows. While common wisdom was that language is unique to anatomically modern humans, appearing on the *Homo sapiens* lineage within the past 50-150kyr (e.g. (*11*, *12*)), contemporary data suggest that deeper evolutionary timescales, of hundreds of thousands (perhaps millions) of years, are more plausible (*13*, *16–18*). Even if the language system as we know it in present-day humans only emerged recently, different facets may have evolved over longer timescales, under different selective pressures.

Our approach is also bio-cultural, recognizing and incorporating both biological preparedness and cultural processes, and the interactions between them, as key factors in language emergence. Understanding biological preparedness, including innate learning mechanisms and biases, is necessary to explain the uniqueness of human language, and helps guide comparative research on non-human species. However, no human infant develops a fully structured language in isolation: such languages arise only after extended social and communicative interaction (e.g., (*19*, *20*)). Over generations, learners progressively systematize language through communication and cultural transmission (*21–23*), processes shaped by properties of the individual and the community (*24–26*). Computational simulations, experiments, and real-word cases of emergence identified specific cultural processes necessary for structured language to emerge. One reason non-human species lack human-like language may be their limited biological capacity to support these cultural processes. Importantly, biology and culture can interact in complex non-intuitive ways. For example, the emergence of more complex communication systems can increase selective pressure on the cognitive mechanisms required to learn and produce complex signals. This could result in virtuous cycles of gene-culture coevolution (Fig. 1), making iterated bio-cultural processes central to understanding language emergence. Crucially, both classes of phenomena, biological and

cultural, along with their interactions, can be empirically investigated in humans, non-human animals, and simulated/artificial agents (*27*).

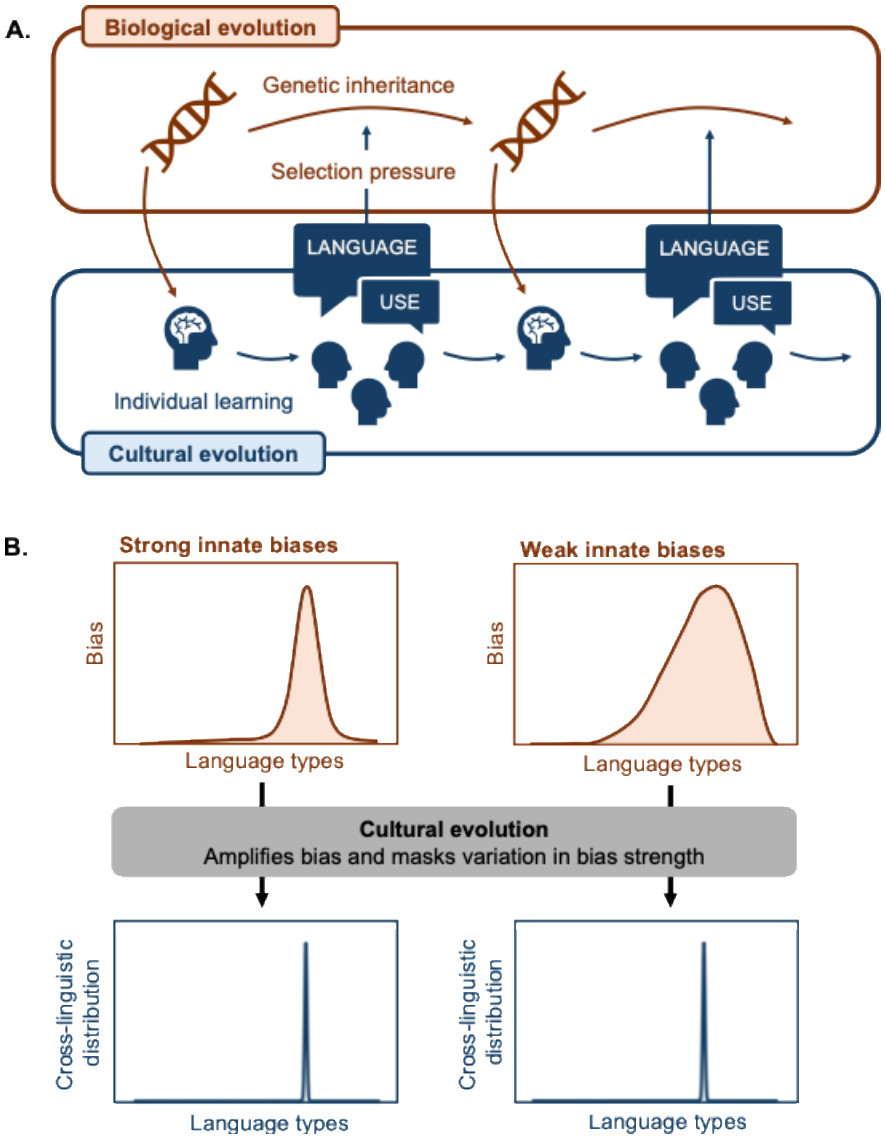

Figure 1. Gene-culture co-evolution model. Interacting processes operating on different timescales, from milliseconds to millennia, shape language emergence. (A) Processes of language use operate at the shortest timescale, as individuals comprehend and produce utterances in ongoing conversation. Learning to form these utterances (learning sounds, words, and rules) happens over a lifetime of exposure to the language of the community. Zooming out further, the structure of a specific language emerges and changes through cultural evolution, as knowledge of language is passed from one generation to the next. Finally, the cognitive and anatomical machinery that allows humans to learn and use language has been subject to genetic evolution over the course of human evolution. The processes of biological and cultural evolution interact to produce a dual-inheritance system (*28*, *29*). Features of languages are inherited culturally, and the mechanisms that support such cultural inheritance are themselves inherited genetically. These processes may interact in complex and interesting ways, studied using mathematical and computational models that include all three timescales: individual learning and use; cultural evolution; biological evolution. **(B)** One prominent approach, iterated Bayesian learning (*30*, *31*) treats learning as a process of inductive inference, combining utterances that the learner observes with a prior bias favouring particular types of languages.

Cultural evolution is modelled as a process in which the languages inferred by one generation provide data observed by the next generation of learners. Iterated Bayesian learning allows us to compute expected results of cultural evolution for any hypothesised prior bias learners might have, along with a model of how language is used for communication (*32*). This approach has been extended to the full dual-inheritance model by assuming that priors for learners are shaped by their genes, and these genes are selected based on communicative effectiveness of the individuals in the population (*33*). One striking finding is that the existence of cultural evolution tends to weaken inductive biases in language learning (*33*). Cultural evolution amplifies weak biases in individual learners, such that weak biases have the same outcome at the population level as strong constraints would. If strong biases are costly to maintain (e.g. by being more subject to mutation pressure), then weak biases are the inevitable consequence. This is surprising given previous work on the evolution of learning, which suggests the opposite: that learning can make evolution of innate constraints more likely (*34*).

Bio-cultural and multi-faceted perspectives are increasingly appreciated in discussions of language evolution, but there is a need to integrate them in a unifying framework, and show concrete examples of how that advances understanding. We demonstrate application of an integrated framework through three case studies, targeting different facets important for language emergence: (1) Vocal production learning: the ability to modify vocalisations based on experience, critical for acquiring spoken language; (2) Language structure: the systematic ways in which linguistic elements relate to one another, underlying the productivity of human language; and (3) Social underpinnings: behaviours and processes that facilitate social interaction, enabling cultural transmission of language. These are not claimed as the sole or primary facets relevant to language evolution, but used to illustrate the value of a bio-cultural framework.

Case study 1: Vocal production learning

Human language is inherently multi-modal, expressible via speech, sign, writing or touch (*35*, *36*). However, when available, speech is the primary modality across societies. Its acquisition depends on auditory-guided vocal production learning (VPL): the ability of an organism to flexibly enlarge and modify its repertoire of vocalisations based on auditory experiences (*37*, *38*). VPL is critical for learning the sounds and open-ended vocabulary of language. Non-human primates appear much more limited than humans in their capacity to produce new vocalisations, but these abilities have emerged in other species, including subsets of birds, bats, cetaceans, pinnipeds, and elephants (*38*). There is increasing evidence that the independent appearance of VPL on different branches of the evolutionary tree involves deep homology (*39*, *40*), a phenomenon where convergently evolved traits recruit similar underlying genetic regulatory mechanisms across species (*41*). This aligns with the idea that some facets of language rely on ancient genetic and neural infrastructures, modified and recombined to enable more complex systems/abilities. The relevance of deep homology for understanding VPL is illustrated by studies of the *FOXP2* gene.

FOXP2 was originally discovered by using human genetics tools (Table 1) to investigate the biological bases of developmental speech and language disorders (*42*). Given adequate exposure to spoken language (and in absence of sensory disorders), most children become proficient language users within the first years of life. However, there are unusual cases where this process goes awry. Before the advent of molecular methods, studies comparing identical and non-identical twins, and documenting recurrence of cases within families, suggested that genetic factors play a role in these otherwise unexplained disorders, without pinpointing the genes involved (*43*). In 2001, a rare pathogenic DNA variation in *FOXP2* was found to disturb development of the coordinated sequencing of mouth and face movements underlying proficient speech (childhood apraxia of speech) in a large family, known as the KE family (*44*) (Fig. 2A). Multiple independent cases of people carrying *FOXP2* disruptions were since reported, with developmental speech deficits being the most consistent consequence (*45*).

Table 1: Identifying genetic links to language through genomic studies in modern humans. Researchers can investigate genetics of relevant pathologies (childhood apraxia of speech, developmental language disorders, etc.) by identifying genetic correlates of individual differences in language-related skills in the general population, exploiting advances in molecular methods and analytic approaches (*46*).

Type of DNA variation	Biological impact	Molecular methods	Typical study designs	Examples from the literature	Linking to evolution
Rare gene disruptions	Rarely, a change at a single genetic locus can be sufficient to substantially derail language development.	Advances in next-generation sequencing now allow rapid reading of almost all of a person's genome at high resolution at a fraction of the cost of classical methods.	Pathogenic variants can be identified by analysing DNA of relatives in multigenerational families where multiple individuals have a developmental speech/language disorder.	The first rare gene variants in childhood apraxia of speech were discovered by studying a three-generation family, before the advent of next-generation sequencing (*44*).	The evolutionary history of genes implicated in speech/language disorders can be retraced, by comparing to versions found in extinct archaic hominins and extant apes, and testing for evidence of Darwinian selection at these genomic loci on the lineage leading to *Homo sapiens* (*47*, *48*).
			A complementary approach investigates *de novo* cases of disorder (where parents/siblings are unaffected) to identify pathogenic DNA variants that are only present in the affected child.	Whole genome sequencing in speech apraxia has since identified pathogenic *de novo* disruptions of multiple candidate genes, with regulatory roles in early brain development (*49*).	
Common variation	Many studies focus on single-nucleotide polymorphisms (SNPs) found at >1% frequency in general population. Any one SNP by itself has little impact, but combinations of many such variants across the genome may jointly explain a significant proportion of trait variance.	High-throughput low-cost genotyping technologies, like DNA microarrays, make it possible to capture allelic variation at millions of SNPs in large samples. These technologies fuelled the rise of genome-wide association studies (GWASs) that systematically screen vast numbers of SNPs, testing each for a relationship with a trait of interest.	One GWAS design is a case/control study assessing contributions of common DNA variation to a disorder (or categorical trait). GWAS designs can also identify associations of SNPs with individual differences in quantitative traits. Since the effect size of one SNP may be tiny, cohorts of tens (even hundreds) of thousands of people are needed to give adequate power while adjusting for substantial multiple-testing.	In a multicohort GWAS study of individual differences in quantitatively assessed reading- and language-related skills, involving <34,000 participants, researchers could capture up to 26% of trait variability with common DNA variation (*50*).	Findings on genetic contributions to individual differences in language-related skills and/or neural infrastructure in living humans can be integrated with information about evolutionary signatures across the genome, over a range of different timescales in primate and hominin history. For example, a UK Biobank study used this approach to uncover effects of human-gained regulatory elements on left-hemisphere brain regions related to speech, among other findings (*47*, *48*).
			Studies of genetic associations with language-related traits can be extended to individual differences in brain structure and function, assessed with neuroimaging. Effect sizes of individual SNPs are small even for traits measured with MRI (*51*). With availability of neuroimaging and DNA data in large biobanking resources it is now possible to carry out GWAS studies of neural circuits involved in language processing.	GWAS investigations of structural and functional connectivity in the brains of ~30-32,000 participants in the UK Biobank have given new insights into how genetic variants contribute to language-related circuits in the human brain (*52*, *53*).	

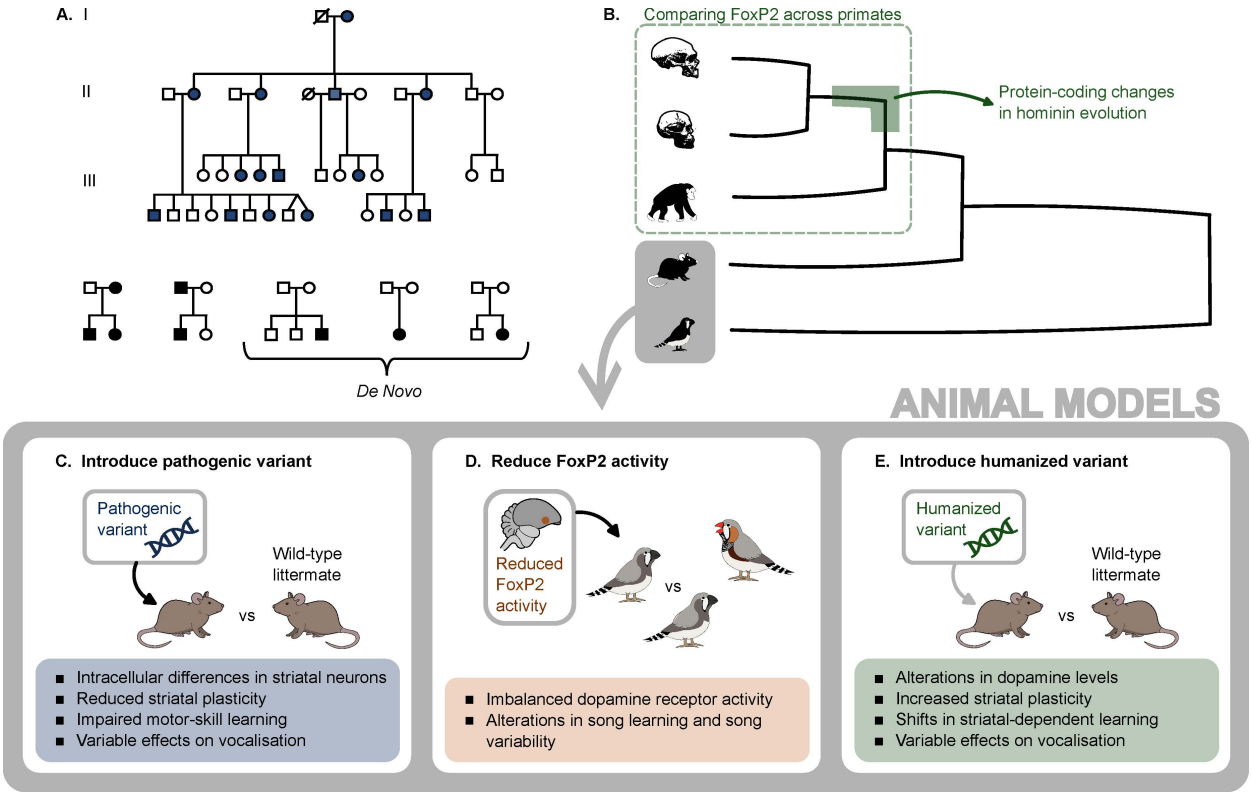

Figure 2. Investigating evolution of vocal production learning with tools of molecular genetics: *FOXP2* as an example. (A) The starting point was a three-generation family, the KE family, in which half of the relatives (shaded symbols) were affected by a neurodevelopmental disorder primarily involving childhood apraxia of speech, accompanied by expressive and receptive language deficits (top). The affected relatives carried a change of one DNA letter (nucleotide) in the *FOXP2* gene (*44*). This small change in DNA alters the amino-acid sequence, and hence shape, of a key part of the regulatory protein that *FOXP2* encodes, stopping it from functioning in its normal way. Advances in DNA sequencing led to identification of >28 additional individuals (from 17 families) carrying different pathogenic single-nucleotide variants of *FOXP2*, with problems in speech development being the most common feature found in these cases (*45*). As shown in the bottom of the panel, while pathogenic variants were sometimes inherited from affected parents, in many of the cases they arose *de novo* in children with unaffected parents. **(B)** Comparisons of DNA sequences across different species (comparative genomics) identified versions of *FOXP2* in distantly related vertebrates including mammals, birds, reptiles, fish, and amphibians (*40*, *54*), showing that the gene has a deep evolutionary history. Against this background, integration of findings from extant apes and extinct archaic hominins revealed that changes in the amino-acid sequence of the encoded protein occurred on the *Homo* lineage after splitting from chimpanzees/bonobos (*55*). **(C)** Researchers engineered mouse models that carry the same pathogenic variant that causes speech problems in the KE family. Investigations of these mice reported motor-skill learning deficits (*56*), reduced plasticity in the striatum (part of the basal ganglia) (*57*), disturbed intracellular 'protein motors' in striatal neurons (*58*), and loss of neuronal homeostasis in deep-layer cortical neurons (*59*) among other findings. **(D)** Moving to songbirds, lentivirus-mediated RNA interference has been used to reduce activity of *FoxP2* (the avian equivalent of *FOXP2*) in Area X, a key nucleus in the basal ganglia of male zebra finches. Such studies uncovered effects of the gene on song learning and the control of song variability, potentially mediated by changes in dopaminergic signalling (*60–62*). **(E)** When researchers used genetic manipulations to introduce hominin amino-acid substitutions of *FOXP2* into mice, they observed regional changes in dopamine levels and increased plasticity in the striatum (*63*). Motor-skill learning and vocal behaviours of adult male mice were unaffected according to one study (*64*), but later investigations of female and male vocalisations in social contexts found that the partially "humanized" mice used higher frequencies and more complex syllable types (*65*). Another study of these mice uncovered different patterns of striatal-dependent stimulus-response association learning (*66*). Overall, this suite of human and animal model studies shows how genes involved in VPL can be empirically investigated across species to give new insights into evolutionary pathways.

Once *FOXP2* was identified in humans, researchers looked for versions of the gene in other species, retracing its evolutionary history. Cross-species DNA comparisons (Fig. 2B) revealed that *FOXP2* is not unique to humans, but evolutionarily ancient, with similar versions in disparate vertebrates including mammals, birds, reptiles, fish, and amphibians (*40*, *54*). There is high species-wide concordance in the places where this gene is active in the developing/adult central nervous system, including in subsets of neurons in the cortex/pallium, basal ganglia, thalamus, and cerebellum. These findings suggested that contributions of *FOXP2* to human speech may be built on ancient evolutionary pathways involved in motor-skill learning and vocal behaviours (*67*). Such deep evolutionary conservation means that genetic manipulations of versions of *FOXP2* in non-human species can help elucidate its functions, and how these influence brain plasticity and behaviour (*68*, *69*).

For instance, though mice have very limited VPL capacities (*70*), valuable insights were gained from mouse models engineered to carry *FOXP2* disruptions known to cause speech disorders in humans (Fig. 2C). Mice carrying the pathogenic variant of the KE family show motor-skill learning deficits, and altered neuronal properties in basal ganglia and cortex (*56–59*), among other findings. Investigating non-human animals that *are* vocal learners, such as songbirds, is even more revealing (Fig, 2D). Male zebra finches sing structured songs comprising vocal elements (syllables) arranged in a stereotyped sequence, which they learn as juveniles by listening to adult males (*69*). During this developmental period of plasticity, *FoxP2* (the avian version of *FOXP2*) has elevated activity in Area X, a basal ganglia structure that is crucial for VPL (*69*). Experimentally reducing *FoxP2* Area-X activity interferes with song-learning and variability, potentially mediated by disturbed dopaminergic signalling (*60–62*). Thus, impacts of this gene on brain plasticity linked to sensorimotor functions and motor-skill learning may have been independently recruited towards VPL in disparate species (i.e. supporting speech in humans and song in zebra finches). Most recently, genome-wide investigations of >200 mammals with different vocal-learning capacities pinpointed multiple additional genetic loci as candidates for cross-species involvement in VPL (*71*).

Identifying genes contributing to VPL across species allows researchers to use a transformative new data source to test hypotheses about language evolution: ancient DNA. In the last fifteen years, it became possible to obtain high-quality sequence information from nuclear genomes of Neanderthals and Denisovans: extinct hominins that shared with modern humans a most recent common ancestor ~600kyr ago (*72*, *73*). These archaic hominins existed until a few tens of thousands of years ago, temporarily overlapping with *Homo sapiens* at sites across Eurasia (*74*). Analysing ancient genomes enables detection of DNA variants that arose in modern humans after our split from Neanderthals and Denisovans (*75*). It also enables detection of variants that we share with archaic hominins, but that are distinct from those in extant non-human apes. These more ancient variants arose after our split from chimpanzees/bonobos ~6 million years ago, but before the split between modern and archaic humans (*76*). Applied to *FOXP2*, this approach identified two amino-acid changes in the protein that it encodes, both arising on the *Homo* lineage during the 6Myr-600kyr time window (*55*). Researchers used genetic manipulations to introduce the hominin amino-acid substitutions into mice, observing varied effects on vocal behaviours and basal ganglia functions (*63–66*) (Fig. 2E). Thus, by identifying evolutionary variants in genes implicated in facets of language and introducing them into non-human animals, we can investigate whether these variants affect brains and behaviour in ways that might be relevant to language emergence. Despite this promise, we stress that no single genetic change is by itself sufficient to yield a vocal-learning brain (*77*).

Evolution acts not only through genetically-specified changes to protein structure and function, but also by modifying where and when genes/proteins are active in development and adulthood (*78*, *79*). These effects are mediated by a wide variety of regulatory elements in the genome. Many of the DNA variants distinguishing us from other extant apes and extinct hominins may lie within such elements. For example, among primates *FOXP2* shows human-specific expression in microglia, the primary immune cells of the brain, although the regulatory elements responsible for this specificity are not yet described (*80*). Moreover, innovations in paleoepigenetics take advantage of degradation processes in ancient DNA to reconstruct patterns of methylation, a chemical modification that helps mediate changes in gene activity without changing DNA sequence itself. This approach revealed changes in gene regulation differentiating Neanderthals/Denisovans from modern humans (*81*). Several of these modern human-specific gene-expression changes are associated with genes that affect the face and voice, and may underlie characteristics that are unique to modern humans (*82*).

Additional insights into VPL evolution come from considering developmental processes. Take babbling: an early, self-initiated form of vocal production in infants that starts as simple and repetitive verbal "play" but gradually approaches a mature form. Babbling-like behaviours have been documented in humans, songbirds, parrots (*83*, *84*), and vocal-learning bats (*85*, *86*) but are not common in species lacking VPL. Manual "babbling" is seen in hearing and deaf human babies exposed to signed language from birth (*87*, *88*), illustrating both the multimodality of language and the role of babbling in language acquisition. Deaf babies also babble vocally, but this babbling does not progress normally when appropriate input models are inaccessible (*89*), demonstrating how biological preparedness and environmental input interact in language learning.

Babbling (termed 'subsong' in birds) is self-generated and self-rewarding, occurring without immediate environmental triggers or exogenous rewards. Thus, part of the biological preparedness for VPL includes an endogenous reward system, making vocal play enjoyable to the young organism without feedback from parents or others. Although little is known about the underlying circuity in humans, recent evidence implicates endogenous reward in songbird vocal behaviours. Avian song learning begins with a sensory learning period in which the bird stores auditory templates of exemplars of its species' song. This involves an endogenously rewarding listening process (*90*, *91*): juveniles selectively attend to and memorize songs of their own species indicating that hearing them is intrinsically rewarding (*91*). Endogenous reward is key during the subsequent sensory-motor learning period when spontaneous subsong is gradually adjusted, without external feedback, to approach stored adult template(s) (*92–96*). Vocal practice correlates temporally with neural expression of opioid markers and increased activity in reward systems (*92*), and blocking dopamine receptors in the basal ganglia in young zebra finches impairs song copying (*95*). Later in development, both infant babbling and bird subsong are impacted by social reinforcement (e.g. (*96*)) but the early self-reinforcing stages are required to provide raw material for later, exogenously directed, learning. Evolution of VPL may therefore depend both on changes to neural circuits involved in learning and also those underlying endogenous reward.

Case study 2: The emergence of linguistic structure

Human language shows systematic structure at multiple levels and of multiple kinds. Elements can be combined in productive ways, with the meaning of larger units composed of the meanings of their parts (e.g., *cat – cats – big cats*). There is ongoing debate on how to define and quantify this systematicity. Here, we classify a behaviour as systematic when it can be described more concisely

as a set than as a collection of individual instances. "Grammars" in linguistics (in the most theory-neutral use of that term) refer to these shorter descriptions, and are possible because language is systematic. For example, it is more concise to describe formation of the regular English plural using the rule "add -s to the singular form" than to list all plural forms. While prevalent in human language, systematicity is rare in the vast majority of communication systems in nature. An extensive literature investigates neural correlates of systematic language structure (see e.g. (*35*, *97*)); comparing those circuits across humans and non-human primates offers ways to study their evolution (e.g. (*98–100*)). While illuminating, this literature leaves open how linguistic structure first came about.

Over the past 25 years, various experimental and computational methods have been developed to study origins of systematic linguistic structure (*22*, *101–104*), and to ask how that structure is shaped by cognitive and communicative pressures. Specifically, language must serve the communicative needs of interacting language users, and be learnable by subsequent generations of language users. Because language is culturally transmitted (passed on by being repeatedly learned and used by multiple generations), its structure is impacted by the interplay of communicative and cognitive forces. To illustrate, we here focus on one feature: combinatoriality, the fact that language has units that can be recombined, at multiple levels of linguistic analysis (*24*, *105–108*). For instance, sounds can be combined into words, and words can be combined to form sentences.

How did this combinatoriality emerge? We have no access to, or record of, hominin communication systems preceding modern human languages. However, insights can come from real-world cases of emergence (*109*) and lab-based studies recreating evolutionary processes in miniature (*25*, *110*, *111*). Two real-world settings illuminate the pressures and biases impacting the emergence of linguistic structure in modern-day humans. One is homesign: gesture systems created by individuals whose hearing loss prevented them from accessing spoken language and who were not exposed to sign language (*112*). Another is emerging sign languages, where novel signed languages develop in communities with a high proportion of deaf individuals, lacking access to an established signed language. An influential example is Nicaraguan Sign Language (NSL), which spontaneously emerged when homesigners were first brought together in the mid-1980s (*113*). Examining how linguistic structure in such systems changes over time illustrates how individual learning and cultural transmission impact the emergence of structure.

Researchers have documented and compared linguistic structure in solo language creators (homesign); in homesigners who came together and formed the first NSL cohort (NSL1); and in subsequent cohorts of signers who entered the community after the language began and were thus exposed to a language model (NSL2, NSL3, etc.). Some linguistic structural properties are observed in solo creators, irrespective of the specific cultural environment they were raised in. Child homesigners in the USA, China, Turkey, and Nicaragua use gestures to refer to objects, actions, and attributes, and combine them into strings characterised by consistent word order. For example, gestures for the object of an action appear before gestures for the action, yielding 'grape-eat' as opposed to 'eat-grape' (*112*, *114*) (Fig. 3). These same properties appear across societies, without exposure to linguistic input, indicating shared human cognitive biases (e.g. hierarchical structure: (*115*)). Other properties emerge only after homesigners come together to form NSL1 (e.g. a stable lexicon: (*114*)), highlighting the importance of communication with others in shaping linguistic structure. Still other linguistic properties are not produced by homesigners or in NSL1, but tend to appear only after the emerging sign languages are transmitted to new learners. For

example, spatial modulations are rare in NSL1, but commonly used to indicate shared reference in NSL2 (*19*).

A well-studied feature of NSL, relevant to combinatoriality, involves how complex motion events are conveyed. Consider a ball bouncing down a hill. In early NSL cohorts, both the ball's path (downwards) and its movement manner (bouncing) are typically conveyed simultaneously ((*113*) but see (*116*)). However, later cohorts typically segment path and manner into separate parts, yielding a more combinatorial flexible system. Thus, transmission from one cohort to another seems important for building and enhancing combinatoriality. In an experimental analog of the homesign situation, non-signing participants are asked to convey meanings with gesture and no speech (*117*). When presented with complex motion events possessing manner and path components, participants prefer to convey both aspects simultaneously even if expressed separately in their spoken language (*118*). However, gestures and vocalisations beginning as holistic (e.g., simultaneously expressing motion and speed) become more segmented and linearised during ongoing dyadic communication (*119–121*).

Experiments can recreate processes of cultural evolution using a paradigm called iterated learning. In these studies, a participant learns from the output of a previous participant in the experiment, creating multiple simulated "generations" (*22*). When silent gestures get transmitted in this way, there is a learning-driven preference for segmented manner and path. This preference is amplified over generations (as in NSL2 (*113*)) so that more systematic and combinatorial behaviours emerge (*119*, *121*). More generally, productive units emerge during dyadic and group communication paradigms (*25*, *120*, *122*, *123*), a process enhanced by learning and transmission (*32*). The combined findings illustrate that systems starting as a collection of wholes are gradually segmented and analysed into productive parts, consistent with evolutionary approaches proposing a holistic origin for language (*124*, *125*). Further evidence for the role of whole-to-part learning comes from first language acquisition (*126*, *127*) and homesign creation (*128*, *129*), where learners discover parts from unanalyzed wholes (e.g. *Ididit > I did it,* (*130*)), in ways that facilitate the mastery and emergence of systematic structure ((*131*, *132*), Fig. 3).

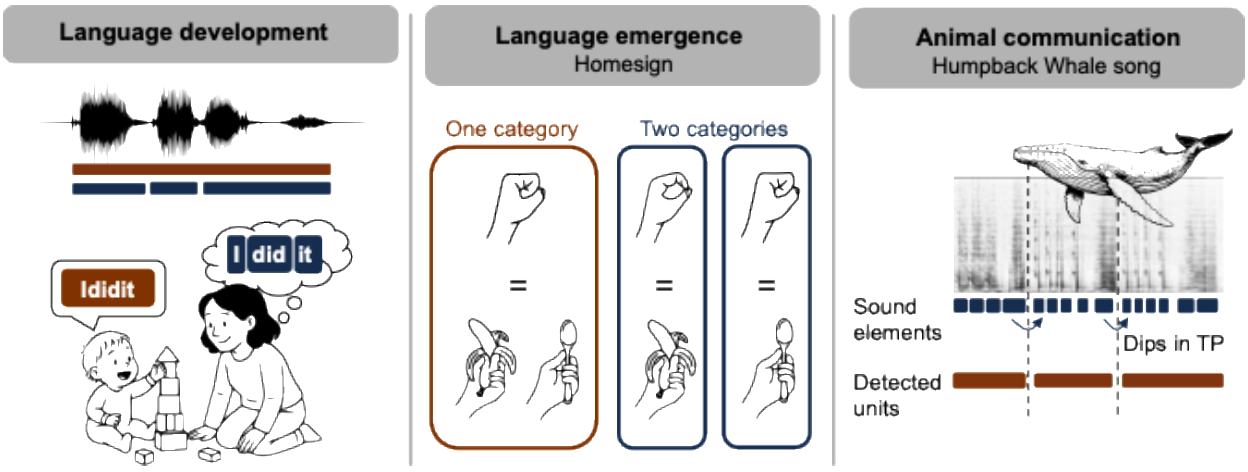

Figure 3. Finding the right units. One of the challenges in studying communication in children and non-human animals is zeroing in on the right unit of analysis. This is challenging because the units we use to code data are influenced by hypotheses (explicit or implicit (*133*)), often based on our own categories. For example, when we describe early child language, we typically attribute individuated words to the child (left-hand panel). But we might be wrong—a child might use a larger unit, treating several words as a single "chunk" (*127*, *134*). Infants extract single word units from the speech they hear, but they also extract larger units containing more than one lexical word (*126*,

130). In fact, starting from larger units plays an important role in *learning* linguistic structure, particularly in learning grammatical relations between words (*126*, *127*, *131*, *132*), and in *creating* linguistic structure (*129*). One way to validate the categories we use is to find systematic patterns based on those categories, providing indirect evidence for the categories, and also for their level of representation. For instance, using semantic roles (patient, act, recipient, etc.) to categorize homesigners' gestures results in systematic orderings (patient-act, patient-recipient, act-recipient), which validates coding at this level (*135*). But sometimes our coding system fails to produce systematic patterns. This may be the time to scrap the system and start again, coding at a level *smaller than* the one previously used (middle panel). For example, homesigners could vary thumb-to-finger distance so that the handshape in the gesture for banana-grasping is distinct from that in the gesture for spoon-grasping (as they are when these objects are actually grasped). Alternatively, homesigners could use the same handshape in both gestures, introducing one larger category for grasping objects <1-inch in diameter. To discover the homesigner's categories, we need to code in units that are *smaller* than the units on which those categories are based; otherwise, the categories may be created by us, not the child (*128*, *136*). When we seek the right units in non-human communication (e.g., gestures in great apes (*137*)), the challenge is greater, because we have limited insight into the categories relevant to non-human animals ((*138*) and must validate the categories in the animal itself (e.g., by using playback experiments, (*139*)). Nonetheless, the approach of seeking out coherent patterns can also help reveal units in animal communication (righthand panel). For example, using transitional probabilities between syllables to segment humpback whale song (a cue used by human infants to segment speech (*140*)) uncovered statistically coherent sub-sequences whose frequency distribution followed a particular power law, also found in all human languages (*141*). This points to a striking similarity between two evolutionarily distant species (whales and humans), united by having culturally transmitted communication systems. Debates about how to detect the appropriate units continue (*142*), with new perspectives coming from machine learning (*143*). In general, allowing for units at multiple levels of representation provides insight into structure in child language, homesign, and animal communication (*144*).

Real-world language emergence and lab-based studies necessarily involve humans with modern brains, but are nevertheless informative. Linguistic features evident in homesign provide insights into products of biological evolution. Properties that homesigners fail to develop, but are found in emerging sign languages, are good candidates for ones that require cultural evolution to emerge (*20*). With lab-based studies researchers can manipulate communicative and cognitive pressures in ways that cannot be done in the real-world, to assess effects on emerging systems. Moreover, computational simulations, not yet discussed (but see Fig. 1, and (*145*, *146*)) allow investigation of learning biases that may differ from those of modern humans. Importantly, it is still an open question which, if any, capabilities underlying language structure are uniquely enhanced in humans. One component hypothesised as highly developed in humans and weak or absent in other species is "dendrophilia", a domain-general proclivity to infer tree structures from data whenever possible (*147*) (Fig. 4).

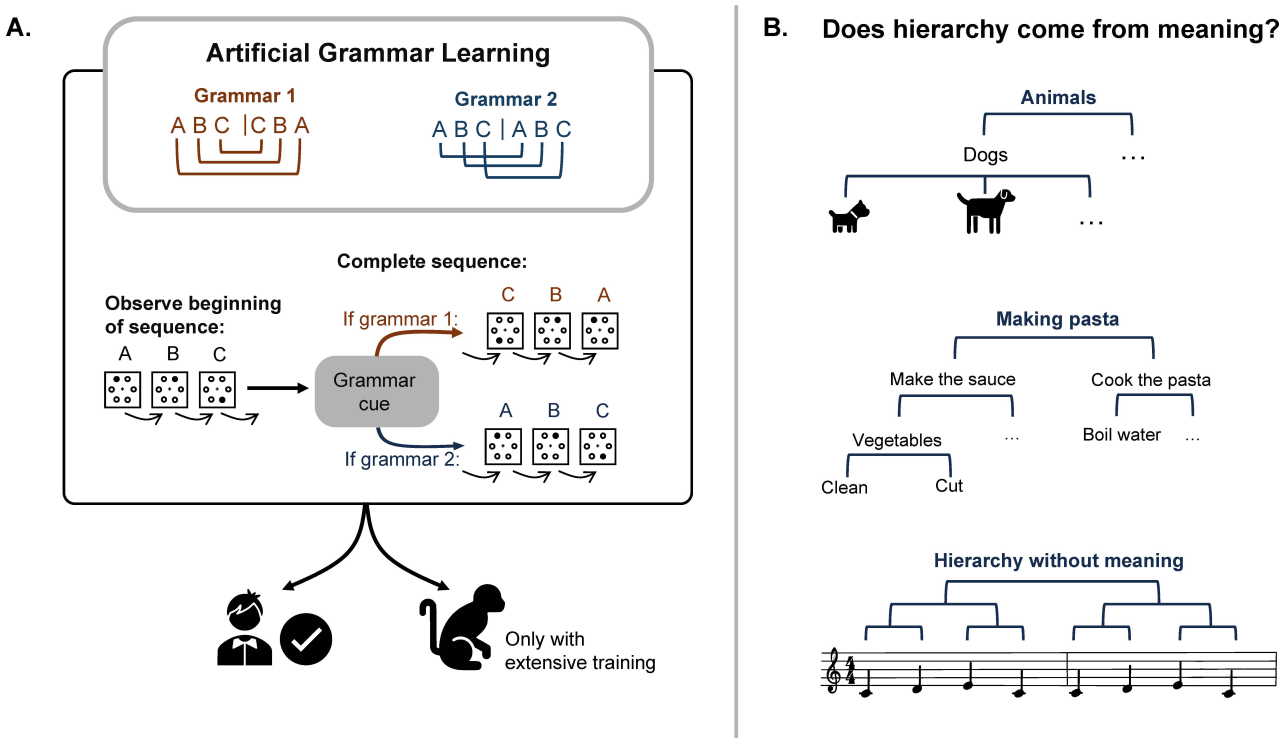

Figure 4. The origins of hierarchical structure - Dendrophilia or semantics? An open question for the field concerns which, if any, capabilities underlying language are uniquely enhanced in humans. One component hypothesised as highly developed in humans and weak or absent in other species is "dendrophilia", a domain-general proclivity to infer tree structures from data whenever possible (*147*). Dendrophilia combines a domain-general capacity to perceive hierarchical structures in stimuli with a strong preference to encode data into hierarchical structures. **(A)** This preference is often studied using Artificial Grammar Learning (AGL) experiments where learners are exposed to sequences of stimuli whose appearance is governed by an underlying hierarchical grammar. If learners deduced the grammar, they should be able to complete sequences in a way that conforms to it. Considerable experimental evidence from cross-species AGL research supports dendrophilia as being both highly developed and biologically canalised in humans, and reduced or absent in other species studied to date (*6*, *148*, *149*). For example, a recent study found that, with adequate time and a consistent exogenous reward structure, macaque monkeys can learn hierarchical structures based on meaningless spatial/motor sequences, but learning requiring many months and tens of thousands of rewarded trials. In contrast, pre-school children learn these same systems rapidly, in as few as six trials, with few/no errors (*149*). The presence of some hierarchical structure in homesign (case study 2) offers further evidence of biological preparedness for dendrophilia in our species (*115*). However, the finding that linguistic structure emerges gradually over generations indicates that cultural transmission is important for explaining hierarchical structure in fully developed languages (as for birdsong). Some precursor(s) of dendrophilia may be present in the motor and/or social domain in other primates, such as the perception and processing of complex dominance hierarchies, as shown in baboons and other socially complex species (*150–152*). **(B)** The problem of acquiring and using tree-like structures may be greatly reduced in contexts involving signal/meaning pairs (as in human language). If semantics already possess hierarchical structure, and signals are mapped onto this hierarchical meaning space, it may strongly bias the learner to impose or perceive tree structure in the signals themselves. Importantly, the existence of hierarchical structure in human music (e.g. (*153*)), or similar systems like bird or whale song (e.g. (*154*)), where signals do not map onto highly structured meanings, suggests that compositional semantic mappings are not necessary (or solely responsible) for hierarchical structure to emerge. Similarly, in AGL experiments, humans readily perceive hierarchical structure in meaningless visual strings (*155*, *156*). Better understanding of the neural mechanisms involved in structural learning, and innovative new methods to "tweak" reward structures in animals, can shed light on origins of hierarchical structure not just in language but also other domains such as music and art.

So far, we illustrated impacts of learning, communication, and cultural transmission in creating combinatorial structure in humans who are already biologically prepared for language. Roles of biological evolution can be investigated using animal models. Here, researchers control preparedness via the choice of species, while experimentally manipulating social pressures and

rewards. Two relevant models are songbirds and baboons, who differ from humans, and from each other, in important ways. As introduced in case study 1, songbirds are vocal production learners, with culturally transmitted song (*157*, *158*). Songbirds are also among the few non-human animals whose signalling exhibits combinatorial structure. Songs are constructed from individual elements that are repeated and recombined (*159*). Despite their "instinct to learn" a species-typical song (*160*), isolated songbirds deprived of appropriate input sing only a harsh, atonal "isolate song" (*159*). When isolate songs are transmitted to successive generations of learners via iterated learning, zebra finches converge within a few generations on a novel (albeit well-formed and species-typical) song exhibiting combinatorial structure (*161*). Even if this developmental process is limited to one bird hearing its own songs played back after a delay, a more species-typical song results (*162*). Transmission over generations/iterations seems crucial for emergence of elaborated species-typical structure, whether the starting point is isolate song in vocal-learning birds, or homesign in humans.

Songbirds are biologically prepared to learn and transmit songs. In contrast, despite powerful learning abilities (*163–165*), non-human primates lack culturally transmitted communication systems, and the combinatoriality of their gestural signalling is not as productive or widespread as in humans or songbirds (*166*). Recent evidence indicates a degree of combinatoriality in vocalizations of some ape/primate species (*167*, *167*, *168*), though it is unclear whether they are used communicatively. Experiments with captive baboons suggest that systematically structured behaviour can emerge in animals lacking it, if cultural transmission is supported externally (*169*). In these studies, baboons are given exogenous rewards for reproducing randomly generated visual patterns. Cultural transmission is experimentally simulated by providing patterns from one baboon as input to another, creating an iterated learning design. Remarkably, systematically structured patterns emerge over iterations: although baboons lack biological preparedness for cultural transmission, when transmission is supported externally, structured patterns emerge. These experiments demonstrate that adding exogenous rewards for copying behaviour (present endogenously in humans and songbirds) facilitates the emergence of systematicity.

Case study 3: Social underpinnings of language

Social interactions are key for first language acquisition, with individual learning typically occurring within interactive contexts (*170*, *171*). These interactions provide children with valuable linguistic input, facilitating learning in various ways (*172*). Although infants can learn in non-communicative settings, and do so in experimental contexts (*140*, *173*, *174*), many aspects of language learning are facilitated by social interaction (*172*). For instance, contingent maternal responses yield more mature vocalisations in human infants (*175*). Similarly, learning of non-native phonetic sounds in infancy is enhanced by social interaction (*176*). Both homesign and emerging sign languages (case study 2) are motivated by the need and desire for social communication (although in homesign, the communications systems themselves are not shared with others (*177*)). Later in development, there is evidence of bi-directional links between language abilities and aspects of social cognition/interaction (*178–180*). Gains in prosocial behaviours in early childhood (age 3-to-5 years) are significantly associated with later gains in verbal ability (age 5-to-11 years), and vice versa (*181*). Better language skills facilitate children's social–emotional competence, allowing formation of more prosocial, cooperative relationships (*181–185*). Conversely, language difficulties often associate with increased difficulty with social interactions (*186–188*).

Social interaction contributes to individual learning in other species with culturally transmitted communication systems. Although we stress the importance of endogenous reward during early birdsong acquisition, exogenous reinforcement from social partners is crucial in later learning, maintenance, and modification of song (*189*, *190*). Female cowbirds provide behavioural feedback (wing-flaps) to courting males, influencing later use of particular syllables or syllable sequences (*189*). When parent zebra finches give behavioural feedback, juveniles develop more accurate copies of tutor song (*190*). Exogenous reward of vocal learning involves dopaminergic systems (*191*, *192*). Socially-tutored zebra finches show higher activity of dopaminergic neurons in the ventral tegmental area, compared to birds who passively heard songs or untutored controls (*191*), and optogenetically blocking dopaminergic input to song circuits during social tutoring impairs song learning (*96*).

Beyond social underpinnings which enable cultural transmission of language, humans have a strong, unparalleled internal drive to socially share information, including about inner states, emotions and ideas, using language ("Mitteilungsbedürfnis", (*2*)). Sharing for sharing's sake is prevalent in humans, but rare in non-human primates. Even language-trained apes, who master aspects of human sign language, show limited interest in using this to express things other than direct requests (*193*, *194*). In contrast, our drive to share thoughts and feelings with others is so strong that humans create a communication system de novo even if one is not available (case study 2).

The social context, together with our "mitteilungsbedürfnis", make language learning and use rewarding for humans. How might the evolution of reward mechanisms relate to emergence of communication systems? One evolutionary pathway of potential relevance is the process of domestication. The Bengalese finch, a domesticated variant of a wild songbird, the white-rumped munia (*195–199*), offers an example of relationships between changes in reward and communication systems. As in many domesticates, stress hormone levels are significantly lower in Bengalese finches compared to munias (*197*), and the former display less aggression (*198*) and explore new environments faster than their wild counterparts (*199*). Intriguingly, Bengalese finches produce songs with greater phonological and syntactic complexity than those of munias (*200*). They are capable of learning munia songs, whereas munias struggle to master Bengalese songs (*195*). Domesticated Bengalese show higher concentrations of cerebral oxytocin than their wild ancestors (*201*, *202*); oxytocin and dopaminergic reward systems are known to be closely interconnected (*203–205*).

Did humans follow evolutionary pathways similar to those underlying animal domestication, where less aggressive individuals that were more prone to cooperatively interact had greater likelihood to survive and/or reproduce? According to the human self-domestication hypothesis, such processes enhanced social learning and cultural transmission in humans (*206–209*). This could generate virtuous cycles at the community-level: increased social reward for communication favours emergence of more advanced forms of communication, enabling larger in-groups and more interaction with non-kin, which results in even richer social interaction. Some support for links between greater communicative complexity and greater social complexity (operationalized by larger group sizes, more dense networks, etc.) comes from multi-species comparative research, from bats to primates (*210*, *211*). Human experimental data provide suggestive evidence: artificial languages evolving in larger micro-societies of interacting participants show more systematic compositional structures, emerging faster and more consistently than in smaller groups (*25*).

Discussion

Our case studies include diverse data sources (behavioural, neural, genetic, developmental), and adopt broad comparative perspectives, with particular focus on humans, primates and songbirds. They demonstrate how facets involved in language emergence can be insightfully studied in non-humans. No single method, tool or model holds all the answers, and investigations of different facets may require different approaches. A common thread is that exaptation and recombination of abilities present in non-humans, combined with intra- and inter-generational cultural transmission, can yield linguistic capacities in our own species. VPL, crucial for acquiring spoken language in humans, is a capacity that humans share with other species, appearing in diverse branches of the vertebrate evolutionary tree. Social underpinnings needed for human language transmission are documented in other species with culturally transmitted systems, but humans also demonstrate communicative tendencies rarely observed in non-human animals (e.g., our "mitteilungsbedürfnis"). Emergence of linguistic structure, a defining property of human language, involves a combination of biological, cognitive, and cultural conditions: While some (or all) conditions are shared with various non-human species, the combination may be unique to humans.

The case studies illustrate the value of explicit bio-cultural framing, showing how language emerges dynamically at three distinct but interacting levels: the individual (language acquisition and use), the community (cultural evolution and historical language change), and the species (biological evolution). The human ability to acquire and use language, and languages themselves, result from multiple interactions, over time, among these levels, making all three important for understanding language emergence. Biological evolution generates the biological preparedness to acquire language shared by all human infants. Via individual learning in a social setting, the child acquires the language(s) of their community, which themselves develop through dynamic processes of cultural evolution. The ways in which these distinct levels interact, constrain, and structure one another can be non-intuitive. Understanding them requires combinations of data, models, and experiments.

A recurring theme and promising avenue for future research, is the role of biological reward systems in language evolution. These systems include the motivation to communicate, and both endogenous and exogenous reward for successful imitation and communication during language acquisition, use, and transmission. Although it is currently impossible to "insert" endogenous rewards for babbling into species that lack them, we can experimentally block such rewards, as shown for songbirds (case study 1 (*95*)). Further, we can experimentally introduce exogenous rewards to trigger learning in species that lack endogenous reward systems for the learned behaviour; potentially "unmasking" cognitive capabilities that were previously unexpressed in that species, as in baboon studies (case study 2 (*212*)). These experiments can empirically circumvent the common criticism that investigating modern humans, who are already biologically prepared to acquire language, reveals nothing about how key facets evolved.

An open issue concerns modality. Like many researchers, we see language as inherently multi-modal (*36*), and our case studies consider both spoken and signed languages. However, we have not discussed possibilities that the use of visual and auditory modalities may emphasise different types of structure. Because gestural capabilities of apes greatly exceed their vocal flexibility, some researchers have suggested that human language origins may be found in gesture and/or sign ("gestural protolanguage"), rather than speech (*213–215*), while others vehemently deny this (*216*, *217*). The multi-faceted bio-cultural framework, combined with recent data showing that like humans, primates are multi-modal communications (*218–220*) strongly suggest that gesture co-

existed with vocal communication, and eventually language, all along. Furthermore, vocal-learning abilities might have already been enhanced in archaic hominins like Neanderthals (case study 1), although they likely lacked fully modern language. Thus, "which came first, sign or speech?" is the wrong question. Productive future debate should centre on how gesture and speech support one another, and why language (unlike, say, birdsong) is flexible enough to be conveyed by radically different sensory systems.

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